

## 2016 Tax Statement and Notification Schedule

We will send a notification to your Message Center when tax documents are posted to the Tax Center.

Tax Documents	Posting Date	Additional Information
<b>2016 Form 1099-R</b> (All IRAs)	No later than 1/31/2017	<p>Form 1099-R shows reportable IRA distributions.</p> <p>Review any IRA distributions, Roth IRA conversions or recharacterizations, and Rollover IRA distributions, as well as federal and state tax withholdings, that have been requested in 2016 and alert us of any discrepancies as soon as possible.</p>
<b>2016 Consolidated Forms 1099, 8949, and .txt and .csv file downloads</b>	No later than 2/28/2017	<p>Consolidated Form 1099 shows capital gains, interest, dividends and other taxable amounts.</p> <p>Form 8949 (Sales and Other Dispositions of Capital shows capital gains and losses, and replaces the gain/loss reports of prior years.</p> <p>OFX interface allows all 1099 data to be imported into popular tax reporting software such as TurboTax and H&amp;R Block.</p> <p>“.txt” files contain realized gains and losses for upload into tax preparation software.</p> <p>Gain/Loss Report spreadsheets (.csv files) are available for download year-round, and may also be useful in preparing your taxes.</p> <p>Note: Corrections to Form 1099 are issued when companies distributions from the prior year. Reclassifications and the associated corrections to Form 1099, Form 8949, .txt corrections to Form 1099, Form 8949, .txt downloads and gain loss reports are typically completed by the end of March but may be posted at any time.</p> <p>We have no control over reclassifications or their timing.</p>
<b>2016 Form 5498</b> (All IRAs)	No later than 5/31/2017	<p>Form 5498 shows reportable IRA contributions.</p> <p>Review any IRA contributions, Roth IRA conversions or recharacterizations, and Rollover IRA contributions that have been requested in 2016 and alert us of any discrepancies as soon as possible.</p>

Attention: To avoid missed deadlines due to unanticipated delays, please do not wait until the last possible date to take action on important transactions.